# IMSc Workshop on Noncommutative Geometry and Quantum Physics

# Quantum Groups I: Mathematical Aspects

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#### **Overview**

- Hopf algebras in the language of commutative diagrams and "classical" examples,
- Quantum groups according to Drinfel'd,
- ullet Examples of quantum groups, mostly q-deformed matrix groups,
- Elements of  $C^*$  theory of *compact* quantum groups, mainly Woronowicz's construction of  $SU_q(2)$ ,
- Notion of isomorphism of quantum groups,
- A classification theorem for  $SU_q(2)$  due to Wang and its consequence for noncommutative geometry.

### Algebras, Coalgebras and Bialgebras

By an algebra we mean a unital, associative algebra over some field k, char k=0.

An algebra A is a triple  $(A, \mu, \eta)$  where A is a k-linear vector space,

$$\mu: A \otimes A \rightarrow A, \mu(a \otimes b) := ab$$

is the (k-linear) multiplication map and

$$\eta: k \to A, \ \operatorname{Im}(\eta) \subset Z(A),$$

is the unit map and such that the following diagrams commute:

$$\begin{array}{cccc} A \otimes A \otimes A & \xrightarrow{\operatorname{id} \otimes \mu} & A \otimes A \\ \mu \otimes \operatorname{id} & & & \downarrow \mu \\ & & & A \otimes A & \xrightarrow{\mu} & A \end{array}$$

and

What the previous diagrams express is simply the associativity of  $\mu$  and the existence of a unit in the algebra:  $\eta(1_k) = 1_A$ .

We say that A is commutative if  $\mu = \mu \circ \tau$ , where  $\tau : A \otimes A \rightarrow A \otimes A$  is the flip map  $(a \otimes b \mapsto b \otimes a)$ .

Now one can be really adventurous and ask whether the arrows in the preceding diagrams can be reversed. That is can we define some  $\Delta:A\to A\otimes A$  and  $\epsilon:A\to k$  such that they satify these "new" commutative diagrams?

The answer is yes!

Suppose we have the maps  $\Delta:A\to A\otimes A$  and  $\epsilon:A\to k$  such that the following diagrams commute:

$$\begin{array}{ccccc} A \otimes A \otimes A & \stackrel{\mathsf{id} \otimes \Delta}{\longleftarrow} & A \otimes A \\ \Delta \otimes \mathsf{id} & & & & & & & & & & \\ A \otimes A & & \stackrel{\Delta}{\longleftarrow} & A & & & & & & & & A \end{array}$$

and

Then we have a coalgebra!

A coalgebra is said to be cocommutative if  $\tau \circ \Delta = \Delta$ .

We now demand the following compatibility conditions from  $\Delta$  and  $\epsilon$ :

 $\Delta(ab) = \Delta(a)\Delta(b)$  and  $\epsilon(ab) = \epsilon(a)\epsilon(b) \forall a, b \in A$ . With these conditions the tuple  $(A, \mu, \eta, \Delta, \epsilon)$  is a *bialgebra*.

### Hopf algebras

Let  $(A, \mu, \eta, \Delta, \epsilon)$  be a bialgebra. Suppose  $\exists S$ :  $A \to A$  such that the following diagram commute:

$$\begin{array}{ccc}
A & \xrightarrow{\eta \circ \epsilon} & A \\
\Delta \downarrow & & \uparrow \mu \\
A \otimes A & \xrightarrow{\mathsf{id} \otimes S, S \otimes \mathsf{id}} & A \otimes A
\end{array}$$

Then the tuple  $(A, \mu, \eta, \Delta, \epsilon, S)$  is said to be a *Hopf algebra*. The map  $S: A \to A$  is called the antipode of A.

- A cocommutative or commutative  $\implies S^2 = \text{id}$ .
- The antipode satisfies S(ab) = S(b)S(a).
- Arrow-reversal duality reverses order of composition: e.g.  $\mu \circ (\mu \otimes id) = \mu \circ (id \otimes \mu)$  becomes  $(id \otimes \Delta) \circ \Delta = (\Delta \otimes id) \circ \Delta$ .

### Classical examples of Hopf algebras

Let G be a finite group with identity e.

### Example 1

Let kG be a vector space with basis G. It is a Hopf algebra with

- ullet Algebra multiplication on kG to be group multiplication.
- Comultiplication on kG as  $\Delta(g) = g \otimes g$ .
- Unit map  $\eta(1) = e$  and counit map  $\epsilon(g) = 1$ .
- Antipode  $Sg = g^{-1}$ .

This is an example of a cocommutative Hopf algebra. If G is commutative, then kG is commutative as well. Notice also that  $S^2 = \mathrm{id}$ .

# Example 2

Let  $k(G) = \{f : G \to k\}$ . It is a Hopf algebra with

- Algebra structure being ptwise multiplication  $(fg)(x) = f(x)g(x) \forall x \in G$ .
- Comultiplication being  $(\Delta f)(x,y) = f(xy)$  after the identification  $k(G) \otimes k(G) = k(G \times G)$ .
- Unit map  $\eta(1) = \text{id}$  and counit map  $\epsilon(f) = f(e)$ .
- Antipode  $(Sf)(x) = f(x^{-1}).$

It is commutative but not cocommutative. Notice  $S^2 = id$  (still).

Question: Examples of Hopf algebras with  $S^2 \neq id$ ?

#### Answer:

### **Quantum groups!**

# <u>Definition</u>(due to Drinfel'd)

A *quantum group* is noncommutative and non-cocommutative Hopf algebra.

We qualify the adjective 'quantum' for such structures after the following:

### Example

Let  $k\{a,b,c,d\}$  be a free algebra and  $q \in k \setminus \{0\}$ . The quotient  $SL_q(2) = k\{a,b,c,d\}/I_q$  is a quantum group where  $I_q$  is the ideal generated by the relations (q-commutativity)

$$ca = qac, ba = qab, db = qbd, dc = qcd, bc = cb,$$

$$da - ad = (q - q^{-1})bc$$

and the q-determinant condition

$$ad - q^{-1}bc = 1.$$

On  $SL_q(2)$ , the comultiplication is given by

$$\Delta \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) = \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \dot{\otimes} \left( \begin{array}{cc} a & b \\ c & d \end{array} \right).$$

The counit is given by

$$\epsilon \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) = \left( \begin{array}{cc} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{array} \right).$$

The antipode is given by

$$S\left(\begin{array}{cc}a&b\\c&d\end{array}\right) = \left(\begin{array}{cc}d&-qb\\-q^{-1}c&a\end{array}\right).$$

Remarks:

- Clearly  $SL_q(2)$  is noncommutative. It is also noncocommutative which can be verified by performing  $\tau \circ \Delta$ . The catch (though not here!) is however to remember that an expression like  $\Delta(a) = a \otimes a + b \otimes c$  is actually  $\Delta(a) = a^{(1,1)} \otimes a^{(1,2)} + b^{(2,1)} \otimes c^{(2,2)}$ .
- We consequently see that  $S^2 \neq \text{id}$  on all the generators: e.g.  $S^2(b)$  and  $S^2(c)$ .

Thus we have a valid example of a quantum group a lá Drnifel'd. The adjective 'quantum' is justified because setting q=1 in  $SL_q(2)$  allows us to get SL(2) with entries in some commutative algebra.

Conventionally,

$$q = \exp \hbar$$

so that  $\hbar \to 0 \implies q \to 1$ .

We note that by removing the q-det = 1 condition, we get  $GL_q(2)$ . If we choose to forget about q-det altogether, we obtain a q-deformation of the matrix group  $M_q(2)$ .

R.J. will talk more about q-deformed structures tommorrow. We are now ready to march towards compact quantum groups.

### Hopf \*- and $C^*$ -algebras

Let  $(A, \mu, \eta)$  be an algebra. Let  $*: A \rightarrow A$  satisfy

$$* \circ * = id,$$
 
$$* \circ \mu = \mu \circ (* \otimes *) \circ \tau.$$

The tuple  $(A, \mu, \eta, *)$  is called a \*-algebra.

The algebra  $A = \mathbf{H}$  and  $k = \mathbf{R}$  is a \*-algebra where \* is the quaternion conjugation map. Perhaps people already see what I'm going to do next: dualize the above definition!

We want the following diagram to commute:

$$\begin{array}{ccc}
A & \xrightarrow{*} & A \\
\Delta \downarrow & & \downarrow \Delta \\
A \otimes A & \xrightarrow{*\otimes *} & A \otimes A
\end{array}$$

Reminder: This just states that  $\Delta \circ * = (* \otimes *) \circ \Delta$ , almost the dual of the second statement in the above definition.

# Example

Recall the example of the group function Hopf algebra k(G)- we take  $k = \mathbf{C}$  and for some  $f \in \mathbf{C}(G)$ , define  $f^* = \overline{f}$ , cpx conjugation.  $\mathbf{C}(G)$  is a Hopf \*-algebra.

#### Check:

We see that  $\Delta(f^*)(x,y) = f^*(xy) = \overline{f(xy)} = \overline{\Delta f(x,y)} = \overline{\Sigma f^{(1)}(x)f^{(2)}(y)} = \Sigma f^{(1)*}(x)f^{(2)*}(y) = (*\otimes *)\Delta(f)(x,y)$ . We have again made the identification  $C(G\times G) = C(G)\otimes C(G)$ .

For the sake of completeness, we note:

#### **Definition**

A  $C^*$ -algebra is an algebra with a \*-structure and a norm  $\|.\|$ , such that \* is compatible with the norm as  $\|x^*x\| = \|x\|^2$ .

We note a key embedding theorem due to Gel'fand and Nàimark that we would be using next:

#### **Theorem**

Every  $C^*$ -algebra is isomorphic to a  $C^*$ -subalgebra of bounded linear operators on a possibly  $\infty$ -dimensional Hilbert space.

We would be dealing with  $SU_q(2)$  next. It is a Hopf  $C^*$ -algebra which is, to wit, the tuple  $(SU_q(2), \mu, \eta, \Delta, \epsilon, *, \|.\|)$  where the morphisms will be defined shortly.

The following construction is to Woronowicz:

Let A be a \*-algebra generated by the elements  $\alpha$  and  $\gamma$  and satisfying

$$\alpha^*\alpha + \gamma^*\gamma = 1, \alpha\alpha^* + q^2\gamma^*\gamma = 1,$$

$$\gamma^* \gamma = \gamma \gamma^*, \alpha \gamma = q \gamma \alpha, \alpha \gamma^* = q \gamma^* \alpha,$$

where q is a nonzero real number. The construction of  $SU_q(2)$  goes as follows:

• Represent every element of A as an (b.l.) operator on some Hilbert space  $\mathcal{H}$ . To do so, it suffices to prescribe the representation  $\pi:A\to B(\mathcal{H})$  only on  $\alpha$  and  $\gamma$ :  $\pi:\alpha\mapsto\widehat{\alpha}$  and  $\pi:\gamma\mapsto\widehat{\gamma}$ . Such a representation is going to be admissible if  $\widehat{\alpha}$  and  $\widehat{\gamma}$  satisy the same commutation relations as  $\alpha$  and  $\gamma$ .

• For every  $a \in A$ , define

$$||a|| = \sup_{\pi} ||\pi(a)||,$$

where the sup runs over all admissible representations. Let N be the two-sided ideal of elements of A of vanishing norm.

• Consider the quotient  $\mathcal{A} := A/N$ . The norm  $\|.\|$  induces a norm on  $\mathcal{A}$ .

We <u>define</u> A as the completion of A in this norm. The  $C^*$ -algebra A is  $SU_q(2)$ . This is our first example of a compact quantum group.

Explicit representations of  $SU_q(2)$  are hard to write down. Consult Dabrowski's "The geometry of quantum spheres".

Woronowicz gave the  $C^*$ -algebra  $SU_q(2)$  the structure of a Hopf algebra as well in the following way:

Let

$$u = \left(\begin{array}{cc} \alpha & -q\gamma^* \\ \gamma & \alpha^* \end{array}\right).$$

The matrix elements of u generate the  $C^*$ -algebra  $\mathcal{A}$  and also A. On these elements, define the comultiplication

$$\Delta(u) = u \dot{\otimes} u,$$

and the antipode

$$S\left(\begin{array}{cc} \alpha & -q\gamma^* \\ \gamma & \alpha^* \end{array}\right) = \left(\begin{array}{cc} \alpha^* & \gamma^* \\ -q\gamma & \alpha \end{array}\right).$$

The (unimportant) counit maps u to the identity matrix.

# Isomorphism of $SU_q(2)$ and a classification theorem

The following definition of *isomorphism* for compact quantum groups was suggested by Wang. Here we will state it only for  $SU_q(2)$ . Let  $SU_q(2)$  and  $SU_{q'}(2)$  have comultiplication  $\Delta$  and  $\Delta'$  respectively. Let

$$\Gamma: SU_q(2) \to SU_{q'}(2)$$

be a \*-homomorphism. That is,  $\Gamma(x^*) = \Gamma(x)^*$ . We demand of  $\Gamma$  that the it makes the following diagram commute:

$$SU_q(2)\otimes SU_q(2) \xrightarrow{\Gamma\otimes\Gamma} SU_{q'}(2)\otimes SU_{q'}(2)$$

$$\Delta \uparrow \qquad \qquad \uparrow \Delta'$$

$$SU_q(2) \xrightarrow{\Gamma} SU_{q'}(2)$$

If  $\Gamma$  is an isomorphism, then we say that  $SU_q(2)$  are  $SU_{q'}(2)$  are isomorphic.

A theorem of Wang states that:

For q,q' nonzero reals,  $SU_q(2)$  and  $SU_{q'}(2)$  are isomorphic in the preceding sense (that is, as  $\underline{\mathsf{Hopf}}\ C^*$ -algebras) if and only if q'=q or  $q'=q^{-1}$ .

Contrast this with a theorem of Woronowicz which states that  $SU_q(2)$  and  $SU_{q'}(2)$  are always isomorphic as  $C^*$ -algebras.

These two theorems, taken together, have a rather interesting consequence for noncommutative geometry!

Recall the first Gel'fand-Naimark theorem: Given a (compact, Hausdorff) space X and a commutative  $C^*$ -algebra of functionals on X with unit C(X), we can "recover" all the properties of X from C(X). In particular, if X and Y are homeomorphic, then C(X) and C(Y) are isomorphic as  $C^*$ -algebras.

What Woronowicz's theorem is stating is that, IF we are to imagine  $SU_q(2)$  as a  $C^*$ -algebra of functionals on some "space"  $X_q$ , then this correspondence  $X_q \to C(X_q) := SU_q(2)$  is "insensitive" to the choice of the deformation parameter q. Making  $q \to q'$  will still give us the same  $C^*$ -algebras.

On the other hand, what Wang's theorem is suggesting is that IF we require that the correspondence  $X_q \to C(X_q)$  give us a Hopf  $C^*$ -algebra of functionals on the same "space", then the correspondence is sensitive to the choice of q. Scaling q will (almost always) give us nonisomorphic Hopf  $C^*$ -algebras.